

# A Brief Introduction to Multi-Scale Asymptotics: The Amplitude Equation Method

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## Abstract

A number of specialized techniques have evolved to analyze multi-scale problems asymptotically. Having arisen from differing needs, these techniques all appear to be fundamentally different in character. Synthesizing the best features of classical methods, an amplitude equation approach is derived and shown to give identical or superior results. For periodic solutions, it is shown that one can employ the amplitude equations to determine the asymptotic solution valid to a given order of error on arbitrarily long timescales. The amplitude equation methodology may be extended to encompass oscillators with slowly-varying frequencies and certain systems with both fast and slow dynamics.



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A sequence of functions  $\{f_k(\epsilon)\}_{k=0}^{\infty}$  such that  $f_{k+1}(\epsilon) = o(f_k(\epsilon))$  is called a *gauge sequence*.

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For all  $x > 0$ , the exponential integral function has the convergent series expansion

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It can be shown that

$$|R_N| = \mathcal{O}\left(e^{-x} x^{-N-1}\right), \quad \text{as } x \rightarrow \infty.$$

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	Ei(5)	Ei(10)	Ei(20)
Exact	0.0011482956	$0.4156968930 * 10^{-5}$	$0.9835525291 * 10^{-10}$
Asymptotic	0.0011729418	$0.4156165263 * 10^{-5}$	$0.9835525173 * 10^{-10}$
terms used	5	10	20
correct digits	2	4	7
Convergent	0.0011747398	$0.4157216223 * 10^{-5}$	$0.9835525173 * 10^{-10}$
terms used	17	39	80
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The equation above is a member of the larger class of (autonomous) weakly-nonlinear oscillators

$$\ddot{y} + y + \epsilon f(y, \dot{y}, \epsilon) = 0.$$

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The first four terms of the regular perturbation series are

$$y(t; \epsilon) = \cos(t) + \epsilon t \sin(t) - \frac{\epsilon^2}{2} t^2 \cos(t) - \frac{\epsilon^3}{6} t^3 \sin(t) + \mathcal{O}(\epsilon^4 t).$$

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Compare this with the Maclaurin expansion of  $\cos(t - \epsilon t)$ .

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The differential operators are

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \epsilon \frac{\partial}{\partial \sigma} \quad \text{and} \quad \frac{d^2}{dt^2} = \frac{\partial^2}{\partial t^2} + 2\epsilon \frac{\partial^2}{\partial t \partial \sigma} + \epsilon^2 \frac{\partial^2}{\partial \sigma^2}.$$

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Higher order terms  $Y_k(t, \sigma)$ ,  $k \geq 1$ , are identically zero.

## Summarize

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One improvement centers around changing the ODE to a first-order system.

## Deriving the Amplitude Equations

Consider the general system

$$\frac{d\mathbf{x}}{d\tau} = \epsilon \mathbf{F}(\mathbf{x}, \tau, \sigma, \epsilon), \quad \mathbf{x}(0) \text{ prescribed.}$$

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The balance of averages then implies

$$\frac{d\mathbf{A}_\epsilon}{d\sigma} + \epsilon \left\langle \frac{\partial \mathbf{U}}{\partial \mathbf{A}_\epsilon} \right\rangle \frac{d\mathbf{A}_\epsilon}{d\sigma} = \langle \mathbf{F}(\mathbf{A}_\epsilon + \epsilon \mathbf{U}, t, \epsilon) \rangle.$$

## Deriving the Amplitude Equations

Thus the previously unspecified forcing function  $\mathbf{H}$  in the amplitude equations must satisfy the integral equation

$$\begin{aligned} \mathbf{H}(\mathbf{A}_\epsilon, \sigma, \epsilon) &= \left\langle \mathbf{F}(\mathbf{A}_\epsilon + \epsilon \mathbf{U}, \tau, \sigma, \epsilon) - \epsilon \frac{\partial \mathbf{U}}{\partial \mathbf{A}_\epsilon} \mathbf{H}(\mathbf{A}_\epsilon, \sigma, \epsilon) - \epsilon \frac{\partial \mathbf{U}}{\partial \sigma} \right\rangle \\ &= \frac{1}{2\pi} \int_0^{2\pi} \left( \mathbf{F}(\mathbf{A}_\epsilon + \epsilon \mathbf{U}, s, \epsilon) - \epsilon \frac{\partial \mathbf{U}}{\partial \mathbf{A}_\epsilon} \mathbf{H}(\mathbf{A}_\epsilon, \sigma, \epsilon) - \epsilon \frac{\partial \mathbf{U}}{\partial \sigma} \right) ds. \end{aligned}$$

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Likewise, solving the PDE for  $\frac{\partial \mathbf{U}}{\partial \tau}$  and integrating, the correction  $\mathbf{U}$  must satisfy the related integral equation

$$\mathbf{U}(\mathbf{A}_\epsilon, \tau, \sigma, \epsilon) = \int_0^\tau \left( \mathbf{F}(\mathbf{A}_\epsilon + \epsilon \mathbf{U}, s, \sigma, \epsilon) - \left( \mathbf{I} + \epsilon \frac{\partial \mathbf{U}}{\partial \mathbf{A}_\epsilon} \right) \mathbf{H}(\mathbf{A}_\epsilon, \sigma, \epsilon) - \epsilon \frac{\partial \mathbf{U}}{\partial \sigma} \right) ds.$$

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Note that  $\mathbf{U}$  will automatically be periodic (i.e. nonresonant) since we have constructed the integrand to be periodic with zero average.

## Deriving the Amplitude Equations

Generating power series

$$\mathbf{H}(\mathbf{A}_\epsilon, \sigma, \epsilon) \sim \sum_{j \geq 0} \mathbf{H}_j(\mathbf{A}_\epsilon, \sigma) \epsilon^j \quad \text{and} \quad \mathbf{U}(\mathbf{A}_\epsilon, \tau, \sigma, \epsilon) \sim \sum_{j \geq 0} \mathbf{U}_j(\mathbf{A}_\epsilon, \tau, \sigma) \epsilon^j$$

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Note that the limiting problems

$$\frac{d\mathbf{A}_0}{d\sigma} = \omega(\sigma) \mathbf{H}_0(\mathbf{A}_0, \sigma) \equiv \frac{1}{2\pi} \int_0^{2\pi} \mathbf{F}(\mathbf{A}_0, s, 0) ds, \quad \mathbf{A}_0(0) = \mathbf{x}(0)$$

and

$$\mathbf{U}(\mathbf{A}_0, \tau, \sigma) = \int_0^t (\mathbf{F}(\mathbf{A}_0, s, \sigma, 0) - \mathbf{H}_0(\mathbf{A}_0, \sigma)) ds$$

could have been anticipated through simple first-order averaging.

## Deriving the Amplitude Equations

At  $\mathcal{O}(\epsilon^n)$ ,  $n \geq 1$ , we find the useful recursion formulas

$$\begin{aligned} \mathbf{H}_n(\mathbf{A}_\epsilon, \sigma) &= \langle \mathcal{F}_n(\mathbf{A}_\epsilon, \mathbf{U}_0, \dots, \mathbf{U}_{n-1}, \sigma) \rangle - \frac{1}{\omega(\sigma)} \left\langle \frac{\partial \mathbf{U}_{n-1}}{\partial \sigma} \right\rangle \\ &\quad - \sum_{k=0}^{n-1} \left\langle \frac{\partial \mathbf{U}_k}{\partial \mathbf{A}_\epsilon} \right\rangle \mathbf{H}_{n-k-1}(\mathbf{A}_\epsilon, \sigma), \end{aligned}$$

and

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At this point, the given problem has been supplanted by the (not originally obvious) IVP

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$$\mathbf{A}_\epsilon(\sigma) = \mathbf{A}_0(\sigma) + \epsilon \mathbf{A}_1(\sigma) + \dots .$$

The leading term,  $\mathbf{A}_0$ , will satisfy the limiting nonlinear IVP for  $\epsilon = 0$ , while each later  $\mathbf{A}_k$  will satisfy a linearized equation

$$\frac{d\mathbf{A}_k}{d\sigma} = \omega(\sigma) \frac{\partial \mathbf{H}}{\partial \mathbf{A}_\epsilon}(\mathbf{A}_0, \sigma, 0) \mathbf{A}_k + \alpha_{k-1}(\mathbf{A}_0, \mathbf{A}_1, \dots, \mathbf{A}_{k-1}, \sigma), \quad \mathbf{A}_k(0) = 0.$$

## Weakly Nonlinear Oscillators

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(remembering the need to do so in the Poincaré-Lindstedt method).

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## Example: van der Pol Equation

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$$\phi = \Phi_\epsilon + \frac{\epsilon}{32} \left( 8 - 5R_\epsilon^2 - (8 - 4R_\epsilon^2) \cos(2(\eta + \Phi_\epsilon)) + R_\epsilon^2 \cos(4(\eta + \Phi_\epsilon)) \right) + \mathcal{O}(\epsilon^2),$$

and ask that  $R_\epsilon$  and  $\Phi_\epsilon$  satisfy the decoupled amplitude equations

$$\begin{aligned} \frac{dR_\epsilon}{d\sigma} &= \frac{R_\epsilon}{8} (4 - R_\epsilon^2) + \epsilon^2 \frac{R_\epsilon^3}{12288} (-480 + 102R_\epsilon^2 - 37R_\epsilon^4) + \mathcal{O}(\epsilon^3), \\ \frac{d\Phi_\epsilon}{d\sigma} &= -\omega_0 - \epsilon \left( \omega_1 + \frac{1}{8} - \frac{11}{32} R_\epsilon^2 + \frac{21}{256} R_\epsilon^4 \right) - \epsilon^2 \omega_2 + \mathcal{O}(\epsilon^3). \end{aligned}$$

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$$y(t, \epsilon) = R_0 \cos \eta + \epsilon \frac{R_0}{64} \left[ \left( -11 - 7R_0^2 + 8 \ln R_0 \right) \sin \eta - 2R_0^2 \sin 3\eta \right] + \mathcal{O} \left( \epsilon^2 \right).$$

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## Periodic Solutions

Changing to polar coordinates guarantees that the amplitude equations will have the form

$$\frac{dR_\epsilon}{d\sigma} = \beta_1(R_\epsilon) + \epsilon h_1(R_\epsilon) + \epsilon^2 h_2(R_\epsilon) + \mathcal{O}(\epsilon^3),$$

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We may solve for this rest point by substituting

$$R_\epsilon = R_\epsilon(\infty) = R_0(\infty) + \epsilon R_1(\infty) + \epsilon^2 R_2(\infty) + \mathcal{O}(\epsilon^3)$$

into the steady-state.

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To  $\mathcal{O}(\epsilon^3)$ , the periodic solution for the van der Pol equation is found to be

$$y(t) = 2 \cos \eta + \epsilon \left( \frac{3}{4} \sin \eta - \frac{1}{4} \sin 3\eta \right) - \epsilon^2 \left( \frac{1}{8} \cos \eta - \frac{3}{16} \cos 3\eta + \frac{5}{96} \cos 5\eta \right) \\ - \epsilon^3 \left( \frac{7}{256} \sin \eta - \frac{21}{256} \sin 3\eta + \frac{35}{576} \sin 5\eta - \frac{7}{576} \sin 7\eta \right) + \mathcal{O}(\epsilon^4).$$

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Using the  $\mathcal{O}(\epsilon^8)$  amplitude equations, the corresponding radius is

$$R_\epsilon(\infty) = 2 + \frac{1}{96}\epsilon^2 - \frac{1033}{552960}\epsilon^4 + \frac{1019689}{55738368000}\epsilon^6 + \frac{9835512276689}{157315969843200000}\epsilon^8 + \mathcal{O}(\epsilon^{10})$$

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